UNITED NATIONS

UNFCCC Framework Convention on Climate Change

Distr.
GENERAL

FCCC/SBSTA/2000/6
9 August 2000

Original: ENGLISH

SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE
Thirteenth session, Part I
Lyon, 11-15 September 2000
Item 2 (a) of the provisional agenda

PROVISIONAL AGENDA AND ANNOTATIONS

Note by the Executive Secretary

I. INTRODUCTION

1. Arrangements have been made for the thirteenth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) to be held at the Palais des Congrès, Lyon, France from 11 to 15 September 2000. A welcoming ceremony is scheduled to take place at 10 a.m. on Monday, 11 September 2000. The session of the SBSTA is scheduled to be opened by the Chairman at 11 a.m. on the same day.

2. In the light of the decision to increase the number of sessional periods in 2000, the Executive Secretary has, after consulting the Bureau, determined that the most pragmatic means of numbering the sessions is for the sessions of the subsidiary bodies in November 2000, in conjunction with the sixth session of the Conference of the Parties (COP), to be regarded as the resumed thirteenth session. Therefore, the provisional agenda, as presented in this document, covers both parts of the thirteenth session, in Lyon in September (part I) and in The Hague in November (part II). Likewise the annotations, as presented in this document, refer to the thirteenth session as a whole. However, they concentrate mainly on the first part and envisage, to the extent possible, the discussions in the second part. Further annotations for the second part of the session may be issued as an addendum to this document. Separate reports on each part of the session will be prepared.

3. Following agreement reached during the fifth session of the Conference of the Parties (COP 5), the duration of part I of the thirteenth session of the SBSTA will be one week. This week of formal meetings will be preceded by a week of informal meetings, beginning at 3 p.m. on 4 September 2000 at the same venue, with the purpose of advancing negotiations on all issues. These consultations will be convened under the authority of the chairmen of the

subsidiary bodies and will normally be open to all participants, including observers. Facilities will be available for up to two meetings at a time. The chairmen of the subsidiary bodies have requested the secretariat to maintain the same servicing arrangements for the pre-sessional week as worked well in June, before the twelfth sessions. Consequently, there will be no provision for interpretation or documentation for the week of the informal meetings. It is hoped that the intensive work during the informal week of meetings will promote significant advances in the negotiating process on the various agenda items under consideration during the session.

4. In view of the significant amount of work that needs to be completed in time for the sixth session of the COP, it will be necessary to make full use of the time available at the thirteenth sessions of the subsidiary bodies. Intensive discussions and negotiations will be necessary during the session and week of informal meetings. The objective will be to develop or advance negotiating texts, including the related decisions, by narrowing options, resolving technical issues and seeking to identify possible approaches to consensus on all issues.

5. The subsidiary bodies may wish to give consideration to any budgetary implications of the decisions to be taken with particular reference to any requirements in the current biennium but also with a view to future resource requirements, in particular regarding any decisions on the mechanisms under the Kyoto Protocol.

II. PROVISIONAL AGENDA[1]

6. The provisional agenda for the thirteenth session of the SBSTA, proposed after consultation with the Chairman, is as follows:

1. Opening of the session.

2. Organizational matters:

   (a) Adoption of the agenda;
   (b) Organization of the work of the session.

3. Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol).

4. Matters relating to Article 3.14 of the Kyoto Protocol.

5. Procedures and mechanisms relating to compliance under the Kyoto Protocol.

6. Activities implemented jointly under the pilot phase.

7. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol.

8. Capacity-building:

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[1] Items 3 to 8 will be considered jointly with the Subsidiary Body for Implementation.

(a) Capacity-building in developing countries (non-Annex I Parties);
(b) Capacity-building in countries with economies in transition.

9. Methodological issues:

(a) Land-use, land-use change and forestry;
(b) Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol;
(c) Impact of single projects on emissions in the commitment period;
(d) Other matters.

10. Development and transfer of technologies: status of the consultative process (decision 4/CP.4).

11. "Best practices" in policies and measures among Parties included in Annex I to the Convention.

12. Cooperation with relevant international organizations.

13. Other matters.

14. Report on the session.

III. ANNOTATIONS TO THE PROVISIONAL AGENDA

1. Opening of the session

7. The thirteenth session of the SBSTA is scheduled to be opened by the Chairman at 11 a.m. on Monday, 11 September 2000.

2. Organizational matters

(a) Adoption of the agenda

8. The provisional agenda for the thirteenth session of the SBSTA will be presented for adoption. It covers both parts of the thirteenth session, in Lyon in September (part I) and in The Hague in November (part II). It may need to be adjusted to take account of developments during the week of informal meetings preceding part I of the thirteenth sessions of the subsidiary bodies.

9. Items 3 to 8 have been included in the provisional agendas for the thirteenth sessions of both the SBSTA and the Subsidiary Body for Implementation (SBI). In view of the ambitious work programme the SBSTA has before it in the lead-up to the sixth session of the Conference of the Parties, an effort has been made to streamline the agenda. As a result, information on several issues which do not require substantive discussion at this session is presented in section IV of this document as a means of providing Parties with an update on the status of those issues.

(b) Organization of the work of the session

10. Parties are invited to refer to the proposed schedule of work for part I of the session contained in annex II to this document.

3. Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol)

11. **Background:** By its decision 5/CP.4,[2] the Conference of the Parties adopted the programme of work set out in the annex to that decision. According to this programme of work, the COP, at its sixth session, is to identify any additional actions needed to address the implementation of Article 4.8 and 4.9 of the Convention, as well as Articles 2.3 and 3.14 of the Kyoto Protocol. The SBSTA considered this matter jointly with the SBI at its twelfth session, and noted the progress made on addressing the implementation of Article 4.8 and 4.9 of the Convention. The subsidiary bodies invited their chairmen, with the assistance of the secretariat, to develop a text on these issues, on the basis of the consolidated text and other inputs from Parties, as well as comments made in the course of the discussions, to serve as a basis for negotiation at the thirteenth sessions of the subsidiary bodies (FCCC/SBSTA/2000/5, para. 15).

12. **Action:** The chairmen of the subsidiary bodies will, at part I of this session, report on the informal consultations held in Bonn from 23 to 25 August 2000, and on the informal meetings held during the week preceding part I of this session, on the implementation of Article 4.8 and 4.9 of the Convention.

13. The subsidiary bodies will, at part I of the session, have before them document FCCC/SB/2000/5 containing a consolidated text and other inputs from Parties. The subsidiary bodies may wish to consider this document, along with any other text prepared by the chairmen of the subsidiary bodies on the basis of the inputs provided and comments made by Parties which would serve as a basis for negotiation at the thirteenth sessions of the subsidiary bodies. Also, in accordance with decision 12/CP.5,[3] they may wish to discuss the implementation of the examples of initial actions listed in paragraph 4 (a)-(e) of that decision, and they may also wish to discuss the process of implementation of Article 4.8 and 4.9 of the Convention as provided for in the same decision.

4. Matters relating to Article 3.14 of the Kyoto Protocol

14. **Background:** In accordance with Article 3.14 of the Kyoto Protocol, the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (COP/MOP 1) shall consider actions to implement the provisions of Article 3.14. The COP, at

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[2] For the full texts of decisions adopted by the Conference of the Parties at its fourth session, see document FCCC/CP/1998/16/Add.1.

[3] For the full texts of decisions adopted by the Conference of the Parties at its fifth session, see document FCCC/CP/1999/6/Add.1.

its fifth session, having considered this matter along with the implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol), decided to consider further, at its sixth session, matters related to Article 3.14 as an input to COP/MOP 1, taking into account its ongoing discussions on the implementation of Article 4.8 and 4.9 of the Convention (decision 12/CP.5). The SBSTA considered this matter jointly with the SBI at its twelfth session, and noted that discussion had commenced on matters related to Article 3.14 of the Kyoto Protocol (FCCC/SBSTA/2000/5, para. 15 (c)).

15. **Action:** The chairmen of the subsidiary bodies will, at part I of this session, report on the informal consultations held in Bonn from 23 to 25 August 2000, and on the informal meetings held during the week preceding part I of this session, on matters related to Article 3.14 of the Kyoto Protocol.

16. The subsidiary bodies, at part I of the session, will have before them document FCCC/SB/2000/5 containing a consolidated text and other inputs from Parties. The subsidiary bodies may wish to consider this document, along with any other text prepared by the chairmen of the subsidiary bodies on the basis of the inputs provided and comments made by Parties, which would serve as a basis for negotiation at the thirteenth sessions of the subsidiary bodies.

5. Procedures and mechanisms relating to compliance under the Kyoto Protocol

17. **Background:** By its decision 15/CP.5, the Conference of the Parties decided that the Joint Working Group on Compliance (JWG) should aim to complete its work so as to enable the Conference of the Parties to adopt a decision on compliance under the Kyoto Protocol at its sixth session.

18. The JWG, at its meetings during the twelfth sessions of the subsidiary bodies, developed a text on compliance (FCCC/SBI/2000/5, annex III). It requested the co-chairmen, with the assistance of the secretariat, to further develop the text to serve as the basis for negotiation, along with inputs from Parties, at the thirteenth sessions of the subsidiary bodies.

19. **Action:** The chairmen of the subsidiary bodies will, at part I of this session, report on the informal consultations held in Iceland from 18 to 20 July 2000, and on the informal meetings held during the week preceding part I of this session, on matters related to compliance.

20. The JWG, at part I of the session, will have before it document FCCC/SB/2000/7 containing a text that would serve as the basis for negotiation, prepared by the co-chairmen of the JWG. The JWG may wish to consider this text bearing in mind that it must intensify its efforts to fulfil its mandate. The subsidiary bodies may also wish to address relevant issues that are cross-cutting with other provisions of the Kyoto Protocol.

6. Activities implemented jointly under the pilot phase

21. **Background:** The COP, at its first session (decision 5/CP.1), requested the subsidiary bodies to prepare, with the assistance of the secretariat, an annual synthesis report on activities implemented jointly (AIJ) under the pilot phase for consideration by the Conference of the Parties (FCCC/CP/1995/7/Add.1).

22. By its decision 13/CP.5, the COP encouraged Parties involved in activities implemented jointly under the pilot phase to submit, by 30 June 2000, further information on such activities, using the uniform reporting format, for consideration in the fourth synthesis report. This report is contained in document FCCC/SB/2000/6. All reports on AIJ project activities considered in the synthesis report are available on the UNFCCC web site.[4]

23. By the same decision, the COP invited Parties to provide proposals for the improvement of the draft revised uniform reporting format contained in FCCC/SB/1999/5/Add.1, by 31 March 2000. Based on the six submissions received, the secretariat has prepared a further draft revision of the uniform reporting format and draft guidance for its use (FCCC/SB/2000/6/Add.1).

24. **Action:** The subsidiary bodies, at part I of the session, may wish to consider the fourth synthesis report on activities implemented jointly under the pilot phase and the draft revised uniform reporting format. They may also wish to recommend that the COP, at its sixth session, take note of the fourth synthesis report and consider, and possibly adopt, the revised uniform reporting format.

7. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol

25. **Background:** The subsidiary bodies, at their twelfth sessions, recalling decision 14/CP.5, agreed to forward to the thirteenth sessions of the subsidiary bodies the note by the chairmen of the subsidiary bodies contained in document FCCC/SB/2000/4, entitled "Consolidated text on principles, modalities, rules and guidelines", as a basis for further negotiations on mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol, with priority given to the clean development mechanism (CDM) and with a view to the Conference of the Parties taking decisions on all the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol at its sixth session, including, where appropriate, recommendations to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session (FCCC/SBSTA/2000/5, para. 23 (c)).

26. At the same sessions, the subsidiary bodies urged Parties, if they wished to make additional submissions, to do so in succinct, legal language and directly related to the text in document FCCC/SB/2000/4, by 1 August 2000, to be reflected in a miscellaneous document to be issued before the thirteenth sessions of the subsidiary bodies (FCCC/SBSTA/2000/5, para. 23 (d)). These additional submissions are contained in document FCCC/SB/2000/MISC.4. Submissions received after 1 August 2000, if any, will be made available at the thirteenth sessions by way of an addendum to that document.

27. **Action:** The chairmen of the subsidiary bodies will, during part I of this session, report on the informal consultations on mechanisms held in Bonn from 21 to 22 August 2000, and on the informal meetings on mechanisms held during the week preceding part I of this session.

28. The subsidiary bodies may wish to consider, at their joint sessions, the note by the chairmen contained in document FCCC/SB/2000/4, as well as the submissions by Parties in document FCCC/SB/2000/MISC.4 and any addendum to it. The subsidiary bodies may wish to

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[4] http://www.unfccc.de/program/aij/index.html.

further consolidate the text. Special consideration may be given to issues such as the rules of procedure of the executive board of the clean development mechanism, accreditation standards for operational entities and the UNFCCC CDM reference manual. The subsidiary bodies may also wish to address relevant issues that are cross-cutting with other provisions of the Kyoto Protocol.

8. Capacity-building

(a) Capacity-building in developing countries (non-Annex I Parties)

29. **Background:** In accordance with decision 10/CP.5, the secretariat has developed elements of a draft framework for capacity-building activities in developing countries for consideration by the subsidiary bodies at their thirteenth sessions (FCCC/SB/2000/8). This document is based on consultations with Parties at the twelfth sessions of the subsidiary bodies, inputs provided by Parties and intergovernmental organizations (FCCC/SB/2000/INF.1 and Add.1, FCCC/SB/2000/INF.3, FCCC/SB/2000/INF.4, FCCC/SB/2000/INF.6, FCCC/SB/2000/INF.8 and Add.1 and FCCC/SB/2000/INF.9), and elements related to capacity-building emerging from discussions of other issues under the Convention and its Kyoto Protocol.

30. **Action:** The chairmen of the subsidiary bodies will, at part I of this session, report on the informal meetings on capacity-building in developing countries held during the preceding week. The subsidiary bodies, at both parts of the session, may wish to consider the elements of a draft framework and recommend a draft decision on capacity-building in developing countries for adoption by COP 6.

(b) Capacity-building in countries with economies in transition

31. **Background:** In accordance with decision 11/CP.5, the secretariat has developed elements of a draft framework for capacity-building activities in countries with economies in transition for consideration by the subsidiary bodies at their thirteenth sessions (FCCC/SB/2000/9). This document is based on consultations with Parties at the twelfth sessions of the subsidiary bodies, inputs provided by Parties and intergovernmental organizations (FCCC/SB/2000/INF.2, FCCC/SB/2000/INF.4, FCCC/SB/2000/INF.7, FCCC/SB/2000/INF.8 and Add.1 and FCCC/SB/2000/INF.9), and elements related to capacity-building emerging from discussions of other issues under the Convention and its Kyoto Protocol.

32. **Action:** The chairmen of the subsidiary bodies will, at part I of this session, report on the informal meetings on capacity-building in countries with economies in transition held during the preceding week. The subsidiary bodies, at both parts of the session, may wish to consider the elements of a draft framework and recommend a draft decision on capacity-building in countries with economies in transition for adoption by COP 6.

9. Methodological issues

(a) Land-use, land-use change and forestry

33. **Background:** By its decision 16/CP.5, the COP endorsed a work programme on land-use, land-use change and forestry with a view to recommending, at its sixth session, draft decisions relating to Article 3.3 and 3.4 of the Kyoto Protocol for adoption by the COP/MOP.

34. The SBSTA, at its tenth session, requested the secretariat to organize a workshop on land-use, land-use change and forestry (FCCC/SBSTA/1999/6, para. 41 (d)). The workshop was held in Poznan, Poland, from 10 to 15 July 2000.

35. The SBSTA, at its eleventh and twelfth sessions, requested Parties to provide submissions by 1 August 2000 containing country-specific data and information, views, and textual proposals, pertinent to Article 3.3 and 3.4 of the Kyoto Protocol (FCCC/SBSTA/1999/14, para. 46 (g), (h) and (i), FCCC/SBSTA/2000/5, para. 32). On the basis of these submissions the Chairman of the SBSTA, with the assistance of the secretariat, was requested to prepare a consolidated synthesis of proposals. Due to the short period between the submissions and the start of part I of the thirteenth sessions of the subsidiary bodies, the synthesis of proposals will only be available immediately prior to the pre-sessional week, as document FCCC/SBSTA/2000/9 and Add.1. The submissions made by Parties are contained in document FCCC/SBSTA/2000/MISC.6.

36. **Action:** The Chairman of the SBSTA will, at part I of this session, report on the workshop held in Poznan, Poland and on the informal meetings held during the week preceding part I of this session.

37. Parties may wish to come prepared to further consolidate the proposals contained in documents FCCC/SBSTA/2000/9 and FCCC/SBSTA/2000/MISC.6 during part I of this session, with a view to further revising the synthesis of proposals and possibly commencing work on a draft negotiating text. Parties may also wish to consider and agree on how to take forward this text to the sixth session of the Conference of the Parties in order to fulfil the mandate of decisions 9/CP.4 and 16/CP.5.

(b) Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol

38. **Background:** By its decision 8/CP.4, the COP agreed to prepare guidelines under Articles 5, 7 and 8 of the Kyoto Protocol with a view to recommending at its sixth session guidelines under these articles for adoption by the COP/MOP.

39. The SBSTA, at its twelfth session, agreed upon guidelines for national systems under Article 5.1 of the Kyoto Protocol, and decided to prepare, at its thirteenth session, a draft decision on this issue to be recommended for adoption by the COP at its sixth session (FCCC/SBSTA/2000/5, para. 36 (a)).

40. The SBSTA also requested the secretariat to prepare draft guidelines for the review process under Article 8 of the Kyoto Protocol (FCCC/SBSTA/2000/7), for consideration by the SBSTA at its thirteenth session, with the aim of recommending a decision on such guidelines for adoption by the COP at its sixth session. In doing so, the information contained in documents

FCCC/SBSTA/2000/INF.5 and Add.2, submissions by Parties and issues raised by Parties during the twelfth session of the SBSTA were to be taken into account. The SBSTA recognized that some elements of these guidelines would be further elaborated at a later stage (FCCC/SBSTA/2000/5, para. 36 (d)).

41. The SBSTA agreed to consider, at its thirteenth session, guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol and information on methodologies for adjustments, with the aim of recommending a decision on such guidelines and on initial guidance on methodologies for adjustments for adoption by the COP at its sixth session and initiating a process for further elaborating these methodologies at a later stage. In doing so, the information contained in document FCCC/SBSTA/2000/INF.5/Add.2 and submissions by Parties were to be taken into account. The SBSTA recognized that some elements of such guidelines under Article 7 would be further elaborated at a later stage (FCCC/SBSTA/2000/5, para. 36 (c) and (e)).

42. The SBSTA took note of the information on some aspects related to modalities of accounting for assigned amounts contained in document FCCC/SBSTA/2000/INF.7 and it invited Parties to address, at its thirteenth session, the issues raised in this document, including the review of the base year inventories and whether a decision should be taken by the COP at its sixth session on this issue (FCCC/SBSTA/2000/5, para. 36 (g)).

43. The SBSTA invited Parties to consider, at its thirteenth session, when the guidelines and guidance to be adopted by the COP at its sixth session, as mentioned in paragraphs 40 and 41 above, should be further elaborated and completed.

44. It also invited Parties to submit, by 1 August 2000, comments on issues related to Articles 5, 7 and 8, particularly on those issues mentioned in paragraphs 40 to 43 above. These submissions are contained in documents FCCC/SBSTA/2000/MISC.1/Add.2 and FCCC/SBSTA/2000/MISC.7.

45. **Action:** The Chairman of the SBSTA will, at part I of this session, report on the informal meetings held during the preceding week.

46. Parties may wish to come prepared to continue the methodological work related to Articles 5, 7 and 8 of the Kyoto Protocol and to prepare the corresponding decisions to be recommended for adoption by the COP. Parties may also wish to address relevant issues that are cross-cutting with other provisions of the Kyoto Protocol.

47. Parties may wish to refer to the SBI information on issues related to Articles 7 and 8, in accordance with the agreed division of labour set out in decision 8/CP.4.

(c) Impact of single projects on emissions in the commitment period

48. **Background:** By its decision 1/CP.3,[5] paragraph 5 (d), the COP decided to consider, at its fourth session, the "consideration of and, as appropriate, action on suitable methodologies to address the situation of Parties listed in Annex B to the Protocol for which single projects would

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[5] For the full text of decisions adopted by the Conference of the Parties at its third session, see document FCCC/CP/1997/7/Add.1.

have a significant proportional impact on emissions in the commitment period". By its decision 16/CP.4, the COP requested the SBSTA to report any additional information on this issue to it at its fifth session and resolved to take a conclusive decision on the matter, as appropriate, at that session.

49. At its fifth session, the COP endorsed the conclusion of the SBSTA at its eleventh session that the SBSTA would consider this issue further at its thirteenth session, taking into account any additional information provided by Parties up to 17 July 2000, with a view to recommending a decision for adoption by the COP at its sixth session (FCCC/SBSTA/1999/14, para. 60). As at 25 July, no such submissions have been received.

50. **Action:** The SBSTA, at part I of this session, may wish to consider any additional information relevant to the issue, with a view to drafting a decision on this item to be recommended for adoption by the COP at its sixth session.

(d) Other matters

Emissions resulting from fuel used in international transportation

51. **Background:** Article 2.2 of the Kyoto Protocol states that the Parties included in Annex I shall pursue limitation or reduction of emissions of greenhouse gases not controlled by the Montreal Protocol from aviation and marine bunker fuels, working through the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO), respectively.

52. By its decision 2/CP.3, the COP urged the SBSTA to further elaborate on the inclusion of emissions based upon fuel sold to ships or aircraft engaged in international transport in the overall greenhouse gas inventories of Parties.

53. By its decision 18/CP.5, the COP requested the SBSTA to continue its current work on methodological issues related to reporting on emissions based upon fuel sold to ships and aircraft engaged in international transport, including those that might be contained in the Intergovernmental Panel on Climate Change (IPCC) *Report on Good Practice Guidance and Uncertainty Management in National Greenhouse Gas Inventories*.

54. The SBSTA, at its eleventh session, decided to consider the methodological aspects related to emissions based upon fuel sold to ships and aircraft engaged in international transport contained in the IPCC *Report on Good Practice Guidance and Uncertainty Management in National Greenhouse Gas Inventories*, at the SBSTA session following the approval of that report (FCCC/SBSTA/1999/14, para. 56 (e)).

55. At its twelfth session, the SBSTA was informed that the Marine Environment Protection Committee of IMO would consider a report on emissions from marine transportation at its meeting in October 2000. Also the Committee on Aviation Environmental Protection of ICAO will consider a report on aviation emissions at its meeting in January 2001.

56. At the same session, Parties were provided with copies of the IPCC *Report on Good Practice Guidance and Uncertainty Management in National Greenhouse Gas Inventories*.

Information on methods to estimate emissions from fuel sold for international transport may be found in chapter 2, pages 2.51 to 2.69.[6]

57. **Action:** At part I of this session, the secretariat will report on its cooperation with ICAO and IMO. It is anticipated that the ICAO and IMO will provide reports on their activities. In view of the ambitious work programme and because decisions of ICAO and IMO on the reports referred to in paragraph 55 will not be available until after part I of this session, it is proposed that substantive discussion on this matter take place during the fourteenth session of the SBSTA.

Methods and tools to assess climate change impacts and adaptation

58. At its twelfth session, the SBSTA encouraged the secretariat to continue its work on collecting and disseminating information on the methods and tools to assess climate change impacts and adaptation. It noted that further action is needed to improve the quality of information, and to enhance the capability of developing country Parties to make the best use of available methods and tools. The SBSTA requested the secretariat, in coordination with the IPCC, to organize a meeting of experts to explore options for such action, and the feasibility of a joint workshop on impacts and adaptation methodologies after the Working Group II Third Assessment Report of the IPCC is approved/accepted. The SBSTA invited the secretariat to provide information on the results of this expert meeting, if possible, at its thirteenth session (FCCC/SBSTA/2000/5, para. 43).

59. It is anticipated that, in response to the above request, the secretariat will conduct an expert meeting in coordination with the IPCC in the margins of the Third IPCC Working Group II Lead Authors Meeting to be held in Lisbon, Portugal from 8 to 11 August 2000. The secretariat will make a short oral report on the outcome of the meeting at part I of this session. Substantive discussion on the matter will take place at the fourteenth session of the SBSTA.

10. Development and transfer of technologies: status of the consultative process (decision 4/CP.4)

60. **Background:** At its twelfth session, the SBSTA recalled its request to the Chairman, made during the eleventh session, to make available at the thirteenth session of the SBSTA a report on the outcome of the consultative process, incorporating a draft text on a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention (FCCC/SBSTA/2000/5, para 52 (e)), with a view to a decision being adopted at the sixth session of the Conference of the Parties. It further requested the Chairman, with the assistance of the secretariat, in preparing such a report, to take into account the information contained in the reports of the three regional workshops on the transfer of technology consultative process (FCCC/SBSTA/1999/11, FCCC/SBSTA/2000/INF.2, FCCC/SBSTA/2000/INF.6) and as summarized in the note by the Chairman on possible elements of a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention (FCCC/SBSTA/2000/4), the submissions from Parties and the IPCC *Special Report on Methodological and Technological Issues in Technology Transfer*.

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[6] This report is also available on the IPCC web site (http://www.ipcc-nggip.iges.or.jp/gp/report.htm).

61. At the same session, the SBSTA invited the Parties to submit their views, by 30 June 2000, on a framework for implementation of meaningful and effective action to enhance the implementation of Article 4.5 of the Convention (FCCC/SBSTA/2000/5, para. 52 (d)). These submissions are contained in document FCCC/SBSTA/2000/MISC.4.

62. At its fifth session, the Conference of the Parties invited the Chairman of the SBSTA to hold consultations among Parties in August 2000 regarding the outcome of the consultative process (decision 9/CP.5).

63. **Action:** At part I of this session, the Chairman of the SBSTA will report on the outcome of his consultations organized from 2 to 4 August 2000 in Colorado, United States of America and on the informal meetings held during the week preceding part I of this session.

64. The SBSTA will have available a note by the Chairman containing a report on the outcome of the transfer of technology consultative process and a draft text on a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention (FCCC/SBSTA/2000/8). The SBSTA may wish to consider the note with a view to preparing a draft decision for adoption by the sixth session of the Conference of the Parties.

11. "Best practices" in policies and measures among Parties included in Annex I to the Convention

65. **Background**: By its decision 8/CP.4, the Conference of the Parties requested the secretariat to prepare a report on "best practices" in policies and measures for consideration by the SBSTA at its eleventh session. It also requested the secretariat to organize a workshop on "best practices" in policies and measures on the basis of the conclusions of the SBSTA at its eleventh session and to report the results of the workshop to the COP at its sixth session. The workshop was held in Copenhagen from 11 to 13 April 2000.

66. The SBSTA at its twelfth session took note of the report on the Copenhagen workshop (FCCC/SBSTA/2000/2) and discussed how to continue to share experience and exchange information on "best practices" in policies and measures related to Article 2.1 (b) of the Kyoto Protocol. At the same session, the SBSTA decided to further consider this issue at its thirteenth session (FCCC/SBSTA/2000/5, para. 27).

67. **Action:** The Chairman of the SBSTA, at part I of this session, will report on the informal meetings held during the preceding week. The SBSTA may wish to continue the consideration of the issue of "best practices" in policies and measures and, in particular, to consider the report of the Copenhagen workshop with a view to advising the Conference of the Parties, at its sixth session, on how to further advance its work on this subject.

12. Cooperation with relevant international organizations

68. The SBSTA, at its twelfth session, welcomed the cooperation between the secretariat and United Nations bodies and other conventions. It noted with appreciation the reports by representatives of the World Health Organization, the Ramsar Convention, and the United Nations Development Programme. The SBSTA requested the secretariat to continue

consultations with relevant United Nations bodies and other conventions and to inform it of the outcome of these consultations (FCCC/SBSTA/2000/5, para. 59 (h)).

69. Since the twelfth session of the SBSTA, the secretariat has continued to conduct consultations with United Nations agencies and other conventions to promote cooperation on climate change matters, and to ensure that the activities of these agencies are coherent with the Convention process and respond to the needs of the Parties.

70. Input from United Nations agencies and IGOs in the area of capacity-building, in line with decisions 10/CP.5 and 11/CP.5, has been sought on the development of the draft framework for capacity-building which will be further discussed under item 8 of the provisional agenda.

71. At part I of this session, representatives of relevant United Nations bodies may make oral reports.

72. The SBSTA, at its twelfth session, welcomed the information provided by the Global Climate Observing System (GCOS) Secretariat in response to decision 5/CP.5, concerning the need for an intergovernmental process for global observing systems, and noted the progress made in organizing regional workshops to define priority capacity-building needs. The SBSTA invited the GCOS secretariat to report periodically on its activities related to decision 5/CP.5, as well as on developments in the global observing systems for climate at its further sessions (FCCC/SBSTA/2000/5, para. 59). A representative of the GCOS Secretariat is expected to provide a report on the activities of that body, pursuant to the above request, at part II of this session.

13. Other matters

73. Other matters may be considered as they arise during the session. Information on issues not covered by the previous agenda items may be made available if appropriate.

14. Report on the session

74. Separate reports on each part of the session will be prepared. Because of the time that is likely to be needed for considering all items on the agenda, a complete draft text of the report may not be available at the end of the session. The SBSTA may wish to adopt conclusions and authorize the Rapporteur to complete the report after the session under the guidance of the Chairman and with the assistance of the secretariat. Subject to the availability of sufficient time for their translation, conclusions will be made available in all official languages.

IV. INFORMATION RELATING TO THE CONCLUSIONS OF THE SBSTA AT EARLIER SESSIONS

A. Article 6: Education, training and public awareness

75. The SBSTA, at its twelfth session, noted with appreciation that the issue of education, training and public awareness under Article 6 of the Convention had been taken up at the current session. It thanked the secretariat for its report and welcomed its initiative in organizing a side

event at this session, aimed at exchanging information among Parties, intergovernmental organizations and non-governmental organizations (FCCC/SBSTA/2000/5, para. 64 (a)).

76. The SBSTA noted that, although activities related to Article 6 are widely recognized as important in implementing Article 6 of the Convention, the issue has so far received little attention from the SBSTA. The SBSTA also noted that work at the national and international levels has already been undertaken by Parties, intergovernmental organizations and non-governmental organizations, and that the lessons learned, including information products, should now be compiled and shared, and priority areas identified. In addition, the SBSTA took note of the submissions by Parties contained in document FCCC/SBSTA/2000/MISC.3 and Add.1 (FCCC/SBSTA/2000/5, para. 64 (b)).

77. The SBSTA requested the secretariat to put Article 6 on the agenda of its fourteenth session and, where appropriate, subsequent sessions (FCCC/SBSTA/2000/5, para. 64 (c)).

78. At this session and at the sixth session of the COP the secretariat will organize a side event on activities related to education, training and public awareness. The side events will seek to draw out national and international experience in order to identify possible best practice.

B. Roster of experts

79. The SBSTA, at its eleventh session, invited Parties to nominate new experts and/or update the information about their previous nominees to the roster, based on the new nomination form. The secretariat sent a letter to Parties dated 6 March 2000, containing a revised application form and other guidance, and made information available on its web site. Parties wishing to do so may submit their information electronically, via the web, or by fax or mail.

80. As of 11 June, the secretariat had received nominations and/or updates from 42 Parties. Eighteen of them submitted or updated information about 37 experts electronically via the online nomination form. The others sent their nominations by fax or mail. Currently the UNFCCC roster database contains updated information on 91 experts. In addition, information on 66 more experts is to be processed from hard copy.

Annex I

DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE AT PART I OF ITS THIRTEENTH SESSION

Documents prepared for the session

FCCC/SBSTA/2000/5	Report of the Subsidiary Body for Scientific and Technological Advice on its twelfth session, Bonn, 12-16 June 2000
FCCC/SBSTA/2000/6	Provisional agenda and annotations. Note by the Executive Secret. ,
FCCC/SBSTA/2000/7	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol
FCCC/SBSTA/2000/8	Development and transfer of technologies. Status of the consultative process (decision 4/CP.4). Draft text on a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention. Note by the Chairman
FCCC/SBSTA/2000/9	Methodological issues. Land-use, land-use change and forestry. Consolidated synthesis of proposals made by Parties
FCCC/SBSTA/2000/9/Add.1	Methodological issues. Land-use, land-use change and forestry. Consolidated synthesis of proposals made by Parties. Addendum. Compilation of country-specific data and information as submitted by Parties
FCCC/SBSTA/2000/MISC.1/Add.2	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Views from Parties on national systems, adjustments and guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Submissions from Parties. Addendum
FCCC/SBSTA/2000/MISC.4	Development and transfer of technologies. Status of the consultative process (decision 4/CP.4). Submissions from Parties

FCCC/SBSTA/2000/MISC.7	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Submissions from Parties
FCCC/SB/2000/4	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol: consolidated text on principles, modalities, rules and guidelines. Note by the chairmen
FCCC/SB/2000/5	Implementation of Article 4.8 and 4.9 of the Convention. (Decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Matters relating to Article 3.14 of the Kyoto Protocol. Note by the chairmen
FCCC/SB/2000/6 and Add.1	Activities implemented jointly under the pilot phase: fourth synthesis report on activities implemented jointly and draft revised uniform reporting format
FCCC/SB/2000/7	Procedures and mechanisms relating to compliance under the Kyoto Protocol. Proposals from the co-chairmen of the Joint Working Group on Compliance
FCCC/SB/2000/8	Capacity-building in developing countries (non-Annex I Parties)
FCCC/SB/2000/9	Capacity-building in countries with economies in transition
FCCC/SB/2000/MISC.4	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Principles, modalities, rules and guidelines. Additional submissions from Parties

Other documents for the session

FCCC/SBSTA/2000/INF.5	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Report of a workshop on issues related to Articles 5, 7 and 8 of the Kyoto Protocol
FCCC/SBSTA/2000/INF.5/Add.2	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Report of a workshop on issues related to Articles 5, 7 and 8 of the Kyoto Protocol. Addendum. Elements of Articles 7 and 8 and the methodologies for adjustments under Article 5.2
FCCC/SBSTA/2000/INF.7	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Modalities for the accounting of assigned amounts. Preliminary assigned amounts

FCCC/SB/2000/INF.1 and Add.1	Capacity-building. Capacity-building in developing countries (non-Annex I Parties). Compilation and synthesis of information on capacity-building needs and priorities of developing countries (non-Annex I Parties)
FCCC/SB/2000/INF.2	Capacity-building. Capacity-building in countries with economies in transition. Compilation and synthesis of information on capacity-building needs and priorities of Parties included in Annex I to the Convention but not included in Annex II
FCCC/SB/2000/INF.3	Capacity-building. Capacity-building in developing countries (non-Annex I Parties). Compilation and synthesis of information from Annex II Parties and relevant intergovernmental organizations on their capacity-building activities and programmes
FCCC/SB/2000/INF.4	Capacity-building. Report on the progress in the review by the Global Environment Facility of its enabling activities, its capacity-building activities in its normal work programme, its Country Dialogue Workshops and its Capacity Development Initiative
FCCC/SB/2000/INF.6	Capacity-building. Submissions from Parties not included in Annex I to the Convention
FCCC/SB/2000/INF.7	Capacity-building. Submissions from Parties included in Annex I but not included in Annex II to the Convention
FCCC/SB/2000/INF.8 and Add.1	Capacity-building. Submissions from Parties included in and Annex II to the Convention
FCCC/SB/2000/INF.9	Capacity-building. Submissions from relevant intergovernmental organizations on their ongoing capacity-building activities

Documents available for reference only

FCCC/CP/1999/6	Report of the Conference of the Parties on its fifth session, held at Bonn from 25 October to 5 November 1999

FCCC/CP/1999/6/Add.1	Report of the Conference of the Parties on its fifth session, held at Bonn from 25 October to 5 November 1999 Addendum. Part Two: Action taken by the Conference of the Parties at its fifth session
FCCC/CP/1998/16/Add.1	Report of the Conference of the Parties on its fourth session, held at Buenos Aires from 2 to 14 November 1998. Addendum. Part Two: Action taken by the Conference of the Parties at its fourth session
FCCC/CP/1997/7/Add.1	Report of the Conference of the Parties on its third session, held at Kyoto from 1 to 11 December 1997. Addendum. Part Two: Action taken by the Conference of the Parties at its third session
FCCC/CP/1996/15/Add.1	Report of the Conference of the Parties on its second session, held at Geneva from 8 to 19 July 1996. Addendum. Part Two: Action taken by the Conference of the Parties at its second session
FCCC/CP/1995/7/Add.1	Report of the Conference of the Parties on its first session, held at Berlin from 28 March to 7 April 1995. Addendum. Part Two: Action taken by the Conference of the Parties at its first session
FCCC/SBSTA/1999/14	Report of the Subsidiary Body for Scientific and Technological Advice on its eleventh session, Bonn, 25 October - 5 November 1999
FCCC/SBSTA/1999/6	Report of the Subsidiary Body for Scientific and Technological Advice on its tenth session, Bonn, 31 May - 11 June 1999

Annex II

PROPOSED SCHEDULE OF WORK FOR PART I OF THE THIRTEENTH SESSIONS OF THE SUBSIDIARY BODIES

	Monday 11 September	Tuesday 12 September	Wednesday 13 September	Thursday 14 September	Friday 15 September
10 a.m. to 1 p.m.	10 a.m. Welcoming ceremony 11 a.m. SBI items 1, 2, 9, 10, 11 and 14 SBSTA items 1, 2, 9 (a), 9 (b), 10 and 11	SBSTA *(continued)* INFORMALS	INFORMALS	INFORMALS	JOINT MEETING (SBSTA/SBI) SBSTA items 3, 4, 5, 6, 7 and 8 (a), 8 (b) SBI items 3, 4, 5, 6, 7 and 8 (a), 8 (b) *(possibly until 2 p.m.)*
3 p.m. to 6 p.m.	JOINT MEETING (SBSTA/SBI) SBSTA items 3, 4, 5, 6, 7 and 8 (a), 8 (b) SBI items 3, 4, 5, 6, 7 and 8 (a), 8 (b)	INFORMALS	INFORMALS	SBSTA items 9 (c), 12 and 13 SBI items 9, 10, 11 and 14	SBSTA items 9 (a), 9 (b), 10, 11 and 14 SBI items 12, 13 and 15
7 p.m. to 10 p.m.	JOINT MEETING (SBSTA/SBI) *(continued)* SBSTA items 9 (c), 9 (d), 12, 13 SBI items 12 and 13 *(following joint meeting)*	INFORMALS	INFORMALS	INFORMALS	